Research article

Predictive value of quantitative diffusion-weighted imaging and 18-F-FDG-PET in head and neck squamous cell carcinoma treated by (chemo) radiotherapy

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ABSTRACT

Background and purpose: In head and neck squamous cell carcinoma (HNSCC) (chemo)radiotherapy is increasingly used to preserve organ functionality. The purpose of this study was to identify predictive pretreatment DWI- and ¹⁸F-FDG-PET/CT-parameters for treatment failure (TF), locoregional recurrence (LR) and death in HNSCC patients treated by (chemo)radiotherapy.

Materials and methods: We retrospectively included 134 histologically proven HNSCC patients treated with (chemo)radiotherapy between 2012–2017. In 58 patients pre-treatment DWI and ¹⁸F-FDG-PET/CT were performed, in 31 patients DWI only and in 45 patients ¹⁸F-FDG-PET/CT only. Primary tumor (_{PT}) and largest lymph node (_{LN}) metastasis were quantitatively assessed for TF, LR and death. Multivariate analysis was performed for ¹⁸F-FDG-PET/CT and DWI separately and thereafter combined. In patients with both imaging modalities, positive and negative predictive value in TF and differences in LR and death, were assessed.

Results: Mean follow-up was 25.6 months (interquartile-range; 14.0–37.1 months). Predictors of treatment failure, corrected for TNM-stage and HPV-status, were SUV_{max-PT}, ADC_{max-PT}, total lesion glycolysis (TLG_{LN}), ADC_{p20, LN} (P = 0.049, P = 0.024, P = 0.031, P = 0.047, respectively). TLG_{PT} was predictive for LR (P = 0.003). Metabolic active tumor volume (MATV_{PT}) (P = 0.003), ADC_{GTV-PT} (P < 0.001), ADCSD (P = 0.048) were significant predictors for death. In patients with both imaging modalities SUV_{max-PT} remained predictive for treatment failure (P = 0.049), TLG_{LN} for LR (P = 0.003) and ADC_{GTV-PT} for death (P < 0.001). Higher predictive value for treatment failure was found for the combination of SUV_{max-PT} and ADC_{max-PT}, compared to either one separately.

Conclusion: Both DWI- and ¹⁸F-FDG-PET/CT-parameters appear to have predictive value for treatment failure, locoregional recurrence and death. Combining SUV_{max-PT} and ADC_{max-PT} resulted in better prediction of treatment failure compared to single parameter assessment.

1. Introduction

Head and neck squamous cell carcinoma (HNSCC) is the most common head and neck malignancy with 550,000 cases annually

worldwide [1]. Most patients presenting with locally advanced disease are treated with (chemo)radiotherapy in the context of organ preservation. Complete response cannot be achieved in approximately 25–30% [1]. Surgical salvage treatment can be difficult and functional

Abbreviations: ¹⁸F-FDG-PET, ¹⁸F-fluorodeoxyglucose positron-emission tomography; ADC, apparent diffusion coefficient; CT, computed tomography; DWI, diffusion-weighted imaging; GTV, gross tumor volume; MATV, metabolic active tumor volume; LR, locoregional recurrence; SUV, standard uptake value; TLG, total lesion glycolysis; TF, treatment failure

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outcome may be uncertain [2]. It is essential to identify tumor characteristics predictive of response to (chemo)radiotherapy to increase treatment efficacy and long-term outcome by treatment intensification or by offering alternative treatment options as early as possible to these patients (e.g. surgery or best supportive care).

Besides clinical and histopathological factors [3], imaging parameters may provide important prognostic biomarkers [4,5]. Functional imaging, such as diffusion-weighted imaging (DWI) and ^{18}F -Fluorodeoxyglucose positron-emission computer tomography (^{18}F -FDG-PET/CT), could provide additional information on pathophysiology [6,7]. DWI measures cell density and diffusion capacity, which can be quantified with the apparent diffusion coefficient (ADC). Low ADC values are associated with hypercellular tissues (e.g. malignant tumors) [6,8,9]. Tumors with high ADC values have a poor prognosis, possibly due to the high stromal content of the tumor [10]. ^{18}F -FDG uptake on PET/CT can be quantified by the standardized uptake value (SUV) [11]. High FDG uptake was found in aggressive tumoral phenotypes and associated with active proliferation [9], invasion and immunohistochemical biomarkers (e.g. hypoxia-inducible HIF-1 α , low anti-apoptotic Bcl-2, apoptotic regulator p53, and tumor growth Ki-67, p16INK4a as marker for HPV) [9,12–14].

Tumor characteristics associated with treatment failure or adverse long-term survival, might be identified with functional imaging [5,7,15,16]. Qualitative assessment is inadequate to measure tumor heterogeneity and often carries a certain extent of subjectivity, resulting in high interobserver variability. Quantitative analysis could provide more reproducible information of tumor heterogeneity by utilizing whole lesion assessment [17,18].

Earlier studies showed that (combinations of) DWI and ^{18}F -FDG-PET/CT predict treatment response [15] and long-term survival [5]. However, most of these studies included only small patient populations without correction for TNM-stage, HPV-status, tumor volume or location. Furthermore, they used different image acquisition systems, which resulted in limited robustness of predictive and prognostic value [5,16,19–21]. Although some studies use histogram analysis, the predictive accuracy of the combination of DWI and ^{18}F -FDG-PET/CT for primary tumor and lymph node metastasis was not investigated [20,21]. Finally, quantification of based on robust whole-lesion delineation by multiple independent observers, including partial volume correction [22], was not performed previously [5,16,20,21]. The purpose of this study was to identify predictive pretreatment DWI- and ^{18}F -FDG-PET/CT-parameters for treatment failure, locoregional recurrence and death in a large cohort of HNSCC-patients treated with (chemo) radiotherapy.

2. Methods

2.1. Patients

We retrospectively enrolled 134 consecutive patients treated from 2012–2017. The local ethics committee waived informed consent. This study was reported according to the STARD-criteria [23]. Inclusion criteria were: histopathologically proven HNSCC of primary tumor with or without lymph node metastasis; pretreatment DWI and/or ^{18}F -FDG-PET/CT and planned (chemo)radiotherapy. Exclusion criteria were: previous locoregional treatment for HNSCC and insufficient image quality for lesion segmentation.

Treatment failure was defined as: presence of residual malignant tissue within the first 6 months post-treatment. For the assessment of treatment failure we used a standardized physical examination and imaging with MRI and PET-CT which was performed between 3–6 months after treatment.

Locoregional recurrence (LR) was defined as presence of a locoregional (primary tumor ($_{\text{PT}}$) or lymph node ($_{\text{LN}}$)) recurrence in the total follow-up period after end of treatment. In case of suspected malignancy on imaging, histological confirmation was acquired.

Death was defined as the time patients were alive after end of treatment. Patients were censored at the date of the last follow-up for surviving patients.

2.2. MRI acquisitions

MR imaging was performed using a 1.5 T MR system (Signa HDxt, GE Healthcare, Milwaukee, WI) with a 12-channel neurovascular head-and-neck-array coil. The protocol consisted of at least conventional T1-weighted Spin-Echo (SE), short-tau-inversion-recovery (STIR) and echo-planar imaging (EPI)-DWI with TR/TE: 4300–5600/59–98 ms; inversion time 160 ms, averages: 3; parallel imaging acceleration factor: 2; acquisition time ranged between 235 and 314 s; flip-angle: 90°; matrix size: 256 $\times$ 256 (which was not directly acquired, but zero filled from an acquisition matrix of 86 $\times$ 128), voxel size 1 $\times$ 1 $\times$ 4 mm³, 21–28 slices. ADC was measured using 2 b-values (0 and 1000 s/mm²). The ADC values of patients with a TE of 59 and 98 ms were not significantly different ($p = 0.46$), and therefore both patient groups were combined in the analysis.

2.3. ^{18}F -FDG-PET/CT acquisitions

^{18}F -FDG-PET/low-dose-CT was performed according the EANM guidelines 2.0 on a Gemini TOF-64 PET/CT (Philips Medical Systems, Best, The Netherlands) with EARL accreditation [24]. Low-dose non-contrast CT (120 kV; 50 mAs) was used for attenuation correction and anatomic correlation of ^{18}F -FDG uptake. Whole-body ^{18}F -FDG-PET/CT was performed in arms-down-position, with 18 cm axial field of view, from mid-thigh to skull vertex, 60 min after intravenous administration of 2.5 MBq/kg ^{18}F -FDG, 2 min per bed-position. The ^{18}F -FDG-PET images were reconstructed using vendor-provided and EARL-compliant reconstruction protocol with photon-attenuation correction. Reconstructed images had an image matrix size of 144 $\times$ 144 and voxel size of 4 $\times$ 4 $\times$ 4 mm. Post-reconstruction image resolution was 7 mm full-width at half maximum.

2.4. Image analysis

Delineation on MRI was performed manually by two independent radiologists with 34 and 10 years of experience in head-and-neck radiology, respectively. Whole-tumor segmentation was performed, including necrotic or cystic areas and excluding voxels, which were filled with air or subject to distortion. ^{18}F -FDG-PET/CT semi-automated delineations (Fig. 1), based on a background-corrected 50% of SUV_{peak} isocontour [25], were assessed and corrected by a resident supervised by a nuclear medicine physician with 5 and 30 years of experience in head-and-neck PET, respectively. Observers were aware of the HNSCC diagnosis, TNM-stage (7th edition) and primary tumor location for delineation of proven malignant lesions. Any discrepancies between the observers were resolved by performing a segmentation in consensus.

Whole-lesion segmentation was performed on MRI on the T1- and ADC-map in accordance with other sequences (Fig. 1) using VelocityTM software (Varian Medical Systems, Inc, Palo Alto, USA). Histograms were generated with Matlab (MathWorks Inc, MA, USA), based on included voxels. The following MRI parameters were extracted: gross tumor volume on T1 (T1_{GTV}) and ADC (ADC_{GTV}), ADC_{mean}, ADC_{max}, ADC_{min}, ADC standard deviation (ADC_{SD}), ADC percentiles (ADC_{p10} to ADC_{p90}; indicating the value below which a given percentage of tumor voxels in a group of voxels fall), ADC_{kurtosis}, and ADC_{skewness}. Consensus values were used for final analyses.

With ^{18}F -FDG-PET/CT, segmentation was performed using a background-corrected 50% isocontour of tumor SUV_{peak} [26]. SUV was normalized to body weight. SUV_{peak} was defined as the highest uptake in 1 mL spherical volume of interest across all tumor voxel locations. Uptake parameters were derived using in-house developed Accurate software, [24] to quantify lesions on ^{18}F -FDG-PET/CT were:

Fig. 1. Primary tumor delineated on the right base of the tongue and largest lymph node metastasis on the B) ^{18}F -FDG-PET image (green) in accordance with the anatomical reference of A) the CT image (orange). On the ADC-map C) an area of low intensity and on the b-1000 map (D) of high intensity (diffusion restriction).

metabolically active tumor volume (MATV), SUV_{max} , SUV_{peak} , SUV_{mean} . Total lesion glycolysis (TLG) was calculated by the tumor volume multiplied by the SUV_{mean} of the included voxels [17].

2.5. Statistical analysis

The correlation of functional parameters between DWI and ^{18}F -FDG-PET/CT was assessed using the Pearson r test. The difference and correlation of functional parameters between HPV-positive and HPV-negative patients was analyzed performing the Pearson r test. Logistic regression was used to predict locoregional treatment failure. We used Cox regression model to assess locoregional recurrence (LR) and death. P -values of < 0.05 were considered statistically significant in the univariate analysis. Significant single modality parameters were combined in multivariate analysis, for DWI and ^{18}F -FDG-PET/CT separately, using a backward Wald test (Fig. 2). The remaining significant parameters for DWI or ^{18}F -FDG-PET/CT, for tumor and for lymph node metastasis were taken together, corrected for TNM-stage and HPV status. This correction was performed by adding T-, N- and HPV-status to the multivariate analysis. Multivariable regression analysis was performed according to the TRIPOD-statement, accepting p -values up to 0.157 to enhance the model applicability to other patient groups [27]. For survival analyses, the end of treatment was used as start of follow-up. For multivariate analysis only patients were included of whom all data was available (i.e. DWI and ^{18}F -FDG-PET/CT). Subgroup analyses was performed for

tumors with a MATV > 4.2 ml [22], to avoid bias from partial volume effect in small tumors.

With receiver operating characteristic (ROC) analysis three optimal cut-offs (three highest Youden indices (YI)) of significant multivariate parameters were determined to predict treatment failure. The positive and negative predictive value (post-test risk and 1-post-test risk, respectively) at each cut-off value was calculated for treatment failure using a prevalence ranging from 10 to 50%. A log-rank test of remaining significant multivariable parameters (divided by the optimal cut-off) was presented as Kaplan Meier survival curves. Analyses were performed using SPSS (version 18.0; SPSS Inc., Chicago, Ill, USA).

3. Results

3.1. Patients characteristics

The study population consisted of 134 patients (97 males, mean age; 64.7 years, range 41–91 years; Table 1). Fifty-eight patients underwent both DWI and ^{18}F -FDG PET/CT (mean interval 6 days, range 0–13 days; mean interval before treatment initiation was 16 days, range 7–25 days), 31 patients underwent DWI only, 45 patients ^{18}F -FDG-PET/CT only (Fig. 2). All 134 patients completed radiotherapy with 70 Gy in total, of which 18 accelerated radiotherapy. Eighty-seven patients (58.2%) received concomitant cisplatin and 18 patients received concomitant cetuximab.

Fig. 2. Flowchart of study population with single or combination of imaging acquisitions.

The median follow-up of patients without treatment failure or locoregional recurrence (LR) was 25.6 months (interquartile range; 16.3–37.8 months).

In seventeen (12.7%) a locoregional treatment failure occurred during the maximum follow-up time of 6 months after treatment, which percentage was approximately similar in the DWI only and ^{18}F -FDG-PET/CT only group (16 versus 15 patients (11.9%, 11.1%, respectively).

During the total follow-up time, in 28 patients (20.9%) a locoregional recurrence (LR) occurred. The LR rate in both DWI only and ^{18}F -FDG-PET/CT only group was also similar (27 versus 28 patients (20.1%, 20.9%, respectively).

Furthermore, 42 patients (31.3%) died during the total follow-up time, which was also approximately similar in both DWI only and ^{18}F -FDG-PET/CT only group (40 versus 42 (29.8%, 32.1%, respectively).

3.2. Correlations of functional imaging and HPV status

The primary tumor ADCGTV and $\text{ADC}_{\text{kurtosis}}$ were found significantly correlated (supplement 1 and 2) with all PET-parameters (range Pearson r from 0.42–0.91, $p < 0.0015$ after Bonferroni's correction for multiple testing). In lymph node metastases, only ADCGTV correlated significantly with TLG ($r = 0.51$, $p < 0.0015$).

Forty-seven patients were found HPV-positive, 67 HPV-negative and in 20 patients HPV-status was unknown. In the treatment failure group; 5 patients were HPV-positive, 8 HPV-negative, 4 unknown. The LR group consisted of 8 HPV-positive, 16 HPV-negative, 4 unknown status. The patients who died, consisted of 7 HPV-positive, 30 HPV-negative, 5 unknown.

For primary tumor ^{18}F -FDG-PET/CT parameters (MATV , SUV_{max} , SUV_{peak} , SUV_{mean} , TLG) and ADC-parameters (ADC_{mean} , ADC_{SD} ,

ADC_{min} , ADC_{max} , $\text{ADC}_{\text{percentiles}}$) mean values were significant different ($p < 0.05$) between HPV-negative and HPV-positive tumours (Table 2). A low-to-moderate correlation with HPV-status was found for $\text{ADC}_{\text{mean-PT}}$ ($r = 0.452$, $P < 0.01$), $\text{ADC}_{\text{max-PT}}$ ($r = 0.319$, $P = 0.002$), $\text{ADC}_{\text{p10-PT}}$ to $\text{ADC}_{\text{p90-PT}}$ ($r = 0.4$ – 0.48 , $P < 0.01$), $\text{SUV}_{\text{peak-PT}}$ ($r = 0.326$, $P < 0.01$), $\text{SUV}_{\text{mean-PT}}$ ($r = 0.325$, $P < 0.01$).

3.3. Image and univariate analysis of treatment failure

In the treatment failure group, a wider distribution (higher ADC_{SD}) and higher ADC of voxels (higher ADC_{max}) in PT was shown (Fig. 3A).

Univariate DWI ($\text{ADC}_{\text{max-PT}}$ and $\text{ADC}_{\text{SD-PT}}$) and all primary tumor ^{18}F -FDG-PET/CT parameters were higher in patients with treatment failure (Table 3, Fig. 4). However, subgroup analysis (not tabulated) of tumors with MATV larger than 4.2 ml (to minimize partial volume effects; $n = 79$ patients), did not result in any significant ^{18}F -FDG-PET predictor.

3.4. Image and univariate analysis of LR and death

A significant higher $\text{ADC}_{\text{p70-PT}}$ and $\text{ADC}_{\text{p80-PT}}$ was shown in LR (Fig. 3B). A higher $\text{ADC}_{\text{SD-PT}}$ and $\text{ADC}_{\text{max-PT}}$ was found in patients who died (Fig. 3C).

The evaluation of LR showed that MATV_{PT} ($P = 0.001$) and TLG_{PT} ($P = 0.003$) were higher in LR (Table 3). The prediction of death resulted in a significant predictive value for MATV ($P < 0.001$), SUV_{peak} ($P = 0.025$), SUV_{mean} ($P = 0.039$), TLG ($P = 0.001$), ADC_{GTV} ($P < 0.001$), ADC_{SD} ($P = 0.031$) and ADC_{max} ($P = 0.007$). Subgroup analysis of tumors (not tabulated) with MATV larger than 4.2 ml ($n = 79$ patients) resulted for LR and death a predictive value of MATV_{PT} (LR: $P = 0.001$, death: $P < 0.001$) and TLG_{PT} (LR: $P = 0.003$, death: $P = 0.001$).

Table 1
Patient characteristics.

Patient characteristics	
Total patients	134
Gender	
Male	97
Female	37
Age	
Mean age	66.4
Range	41.0 - 90.1
Site	
Oral cavity	3
Oropharynx	96
Hypopharynx	24
Larynx	11
TNM	
T1	16
T2	44
T3	33
T4	41
N0	20
N1	25
N2	88
N3	1
M1	0
HPV status	
Positive	47
Negative	67
Unknown	20
Treatment	
Radiotherapy	134
Chemotherapy total	105
Cisplatin	87
Cetuximab	18
Outcome	
Treatment failures*	17
(HPV+ / - / unknown)	5 / 8 / 4
Locoregional recurrences**	28
(HPV+ / - / unknown)	8 / 16 / 4
Deaths	42
(HPV+ / - / unknown)	7 / 30 / 5

* Treatment failures within 6 months follow-up after end of treatment.

** Locoregional recurrences within the total follow-up time after end of treatment.

3.5. Multivariate analysis of treatment failure

The significant univariate parameters (Table 3) predicting treatment failure, were assessed in a multivariate analysis for DWI and ^{18}F -FDG-PET/CT separately, and for PT and LN separately (Table 4), corrected for TNM-stage and HPV-status. Primary tumor $\text{SUV}_{\text{max-PT}}$ ($P = 0.049$) and $\text{ADC}_{\text{max-PT}}$ ($P = 0.024$), and TLG_{LN} ($P = 0.031$) and $\text{ADC}_{\text{p20-LN}}$ ($P = 0.047$) were significant predictors of treatment failure.

Finally, a head-to-head comparison was made in patients ($n = 58$) who underwent both imaging modalities using these remaining significant parameters (Table 4), which revealed $\text{SUV}_{\text{max-PT}}$ as significant predictive factor ($P = 0.042$) in multivariate analysis with a hazard ratio of 1.302 (95%CI 1.010–1.679). Subgroup analysis of tumors with a MATV larger than 4.2 ml (PT $n = 48$, LN $n = 26$), resulted in the same predictive parameter (not tabulated).

In order to determine the additional value of predicting treatment failure using a combination of both modalities, the remaining PT and LN significant multivariate parameters of both cohorts (ADC_{max} of DWI-cohort and SUV_{max} of ^{18}F -FDG-PET/CT-cohort) were analysed in patients with $\text{MATV} > 4.2$ ml in order to minimize partial volume effects (Table 5). First, optimal cut-offs for $\text{SUV}_{\text{max-PT}}$ were 7.13, 11.3, 13.58; and for ADC_{max} were 1.927, 2.236, 2.528, respectively. The positive predictive value (PPV) and negative predictive value (NPV) were calculated for ADC_{max} and SUV_{max} at the cut-off values described above, showing additional value of combining ADC_{max} and SUV_{max} . For each

assumed prevalence, the PPV for treatment failure was the highest when both a high ADC_{max} (> 2.528) and high SUV_{max} (> 13.58) were assessed. Regardless of the ADC_{max} , a $\text{SUV}_{\text{max}} < 7.13$ ruled out treatment failure. For each prevalence, the NPV for treatment failure increased as lower SUV_{max} cut-off and ADC_{max} cut-off value was used. The combination of significant multivariate nodal parameters $\text{ADC}_{\text{p20-LN}}$ and TLG_{LN} resulted in a slightly better prediction of treatment failure (Supplement 3).

3.6. Multivariate analysis of LR and death

The significant univariate parameters for LR prediction, were assessed per modality in a multivariate analysis, corrected for TNM-stage and HPV-status. This resulted in only TLG_{PT} as significant ($P = 0.003$) prognostic ^{18}F -FDG-PET/CT parameter or LR (single modality and modalities together, Table 4). TLG_{PT} remained significant Subgroup analysis ($\text{MATV} > 4.2$ ml, not tabulated) resulted in TLG_{PT} ($P = 0.039$) as predictive parameter for LR. Performing the ROC analysis, an optimal cut-off value for TLG_{PT} of 36.2 was found which use was significant predictive for LR (Fig. 5A).

The univariate parameters for prognosis of death of ^{18}F -FDG-PET/CT (MATV_{PT} , $\text{SUV}_{\text{max-PT}}$, $\text{SUV}_{\text{peak-PT}}$, $\text{SUV}_{\text{mean-PT}}$ and TLG_{PT}) combined in a multivariate analysis, resulted in MATV_{PT} ($P = 0.003$) as remaining significant prognosticator (single modality, Table 4).

In univariate significant DWI parameters for death ($\text{ADC}_{\text{GTV-PT}}$, $\text{ADC}_{\text{SD-PT}}$ and $\text{ADC}_{\text{max-PT}}$) were combined in a multivariate analysis resulted in $\text{ADC}_{\text{GTV-PT}}$ ($P < 0.001$) and $\text{ADC}_{\text{SD-PT}}$ ($P = 0.048$) as significant prognosticators (single modality, Table 4). $\text{ADC}_{\text{GTV-PT}}$ ($P = 0.009$) remained a significant predictor in subgroup analysis ($\text{MATV} > 4.2$ ml) who underwent both imaging modalities (not tabulated).

The combination of significant prognosticators to predict death resulted in only $\text{ADC}_{\text{GTV-PT}}$ as significant prognosticator (Modalities together, Table 4; $P < 0.001$). The use of both MATV_{PT} and $\text{ADC}_{\text{GTV-PT}}$ (Fig. 5C) resulted in a similar overall survival curve as for single parameter assessment (Fig. 5B), which showed no additional value for the use of both modalities for the prediction of death.

4. Discussion

Pretreatment DWI and ^{18}F -FDG-PET/CT were evaluated for their predictive value for treatment failure, locoregional recurrence-free survival and overall survival. The assessment of both $\text{SUV}_{\text{max-PT}}$ and $\text{ADC}_{\text{max-PT}}$ showed additional value for treatment failure prediction, compared with single parameter assessment. Furthermore, a high TLG_{PT} was predictive for locoregional recurrence, and high MATV_{PT} , $\text{ADC}_{\text{GTV-PT}}$, and $\text{ADC}_{\text{SD-PT}}$ were predictive for death.

In order to identify tumor characteristics with quantitative analyses, whole-lesion delineation could capture tumor heterogeneity and ignores subjective exclusion of necrosis or other potential predictive characteristics [20,28,29]. This characteristic and possible predictive heterogeneity may be caused by areas with high cellularity, necrosis, stroma and areas with increased or decreased vascularity. The mean value of an imaging parameter may be sub-optimal, because when areas with low and high ADC values are included in the ROI, heterogeneity is flattened out.

Tumor heterogeneity (Fig. 1b) may be depicted by ADC histogram analysis [20,30].

A wide distribution, as sign of lesion heterogeneity, might be reflected by the ADC_{SD} [30]. Furthermore, low-cellular tumor parts (e.g. necrosis) might be measured with high ADC values (high ADC_{max} or ADC-percentiles), while high-cellular solid viable areas are reflected by low ADC values. In our study primary tumor ADC_{SD} and ADC_{max} parameters were higher in non-responders, which might be due to limited efficacy of radiotherapy in structures with a low cellularity (e.g. low diffusion restriction such as necrosis and fibrosis) [31]. Moreover,

Table 2

Differences and correlation of functional parameters divided per HPV-status in PT and LN. Most functional parameters were significant higher (marked green) in HPV negative patients.

			HPV status			Correlation	
			HPV - Mean (SD)	HPV + Mean (SD)	P-value*	Pearson r	p-value**
Primary tumor	FDG-PET n=103	T1GTV ($\times 10^3$ cm3)	14.067	8.148	0.057	-0.200	0.043
		MATV ($\times 10^3$ cm3)	12.21 (13.0)	6.54 (4.9)	0.003	-0.250	0.011
		SUV _{max}	9.76 (4.1)	6.74 (3.4)	<0.001	-0.320	<0.01
		SUV _{peak}	7.68 (3.4)	5.24 (2.6)	0.001	-0.326	<0.01
		SUV _{mean}	6.13 (2.6)	4.33 (2.0)	0.001	-0.325	<0.01
		TLG	86.11 (104.7)	46.57 (90.1)	0.049	-0.190	0.055
	DW-MRI n=89	ADC _{GTV} ($\times 10^3$ cm3)	9.052 (12.3)	5.359 (5.6)	0.126	-0.173	0.103
		ADC _{mean} ($\times 10^3$ mm ² /s)	1.319 (255.7)	1.080 (177.0)	<0.001	-0.452	<0.01
		ADC _{SD}	263.1 (76.6)	221.6 (45.1)	0.015	-0.290	0.006
		ADC _{min} ($\times 10^3$ mm ² /s)	0.598 (262.9)	0.479 (208.6)	0.016	-0.232	0.029
		ADC _{max} ($\times 10^3$ mm ² /s)	2.193 (0.455)	1.919 (0.267)	<0.001	-0.319	0.002
		ADC _{skewness}	0.356 (0.47)	0.429 (0.50)	0.773	0.074	0.493
		ADC _{kurtosis}	3.48 (0.94)	3.89 (1.26)	0.182	0.183	0.086
		ADC _{p10 to p90} ($\times 10^3$ mm ² /s)	0.1002 (222-318)	0.818 (163-208)	<0.001	-0.4 to -0.48	<0.01
Lymph node	FDG-PET n=94	MATV	2.80 (1.6)	3.10 (1.6)	0.228	0.107	0.491
		SUV _{max}	7.41 (2.9)	6.13 (2.0)	0.222	-0.119	0.254
		SUV _{peak}	5.60 (2.3)	4.63 (1.6)	0.481	-0.111	0.288
		SUV _{mean}	4.74 (1.8)	3.77 (1.2)	0.236	-0.139	0.182
		TLG	36.89 (49.9)	38.30 (46.3)	0.315	-0.099	0.345
	DW-MRI n=74	ADC _{GTV} ($\times 10^3$ cm3)	5.397 (6.8)	7.039 (6.2)	0.738	0	0.998
		ADC _{mean} ($\times 10^3$ mm ² /s)	1.206 (0.254)	1.206 (0.292)	0.738	0	0.998
		ADC _{SD}	256.59 (103.2)	298.0 (141.1)	0.156	0.170	0.149
		ADC _{min} ($\times 10^3$ mm ² /s)	0.535 (226.7)2.015	0.507 (187.9)	0.332	-0.065	0.580
		ADC _{max} ($\times 10^3$ mm ² /s)	(0.496)	2.222 (0.566)	0.084	0.193	0.100
		ADC _{skewness}	3.539 (1.2)	3.488 (1.0)	0.935	-0.022	0.850
		ADC _{kurtosis}	0.348 (0.49)	0.468 (0.38)	0.316	0.134	0.256
		ADC _{p10 to p90} ($\times 10^3$ mm ² /s)	0.903-1.550 (0.18-0.16)	0.87-1.62 (0.39-0.49)	0.341-0.536	-0.107 to -0.133	-0.26 to 0.95

SD: Standard deviation.

*: Difference between HPV-positive and HPV-negative tumours (Mann-Whitney U test).

**: Correlation between a high functional parameter value and a positive HPV-status (Pearson r test).

Fig. 3. Histogram of ADC voxels of primary tumors (PT) and lymph node metastases (LN) with adverse (blue) and good outcome (orange). A) In treatment failures (TF), wider distribution (ADC_{SD}) and higher ADC_{max} values was found compared to the responders. B) Locoregional recurrences showed a significant higher ADC_{max-PT}. C) Patients who died showed a wider distribution and higher ADC_{max-PT}. D) TF included more of the lowest ADC_{LN} voxels. E) LR showed a higher LN peak and a less wide distribution in the control group. F) The ADC_{LN} parameters showed no significant predictors for death.

Table 3

Univariate parameters of FDG-PET and DWI separately for predicting treatment failure, locoregional failure and death. The odds ratio for treatment failure and hazard ratios for locoregional recurrence and death is shown. The significant univariate parameters were assessed in a multivariate analysis (Table 4).

			Treatment failure			Locoregional Recurrence			Death		
			p-value	Odds ratio	95%CI	p-value	Hazard ratio	S.E.	p-value	Hazard ratio	S.E.
Primary Tumor	Baseline n=134	T-stage	0.309			0.098			0.004		
										3.413 ²	0.432-26.949
										6.083 ³	0.790-46.852
										11.579 ⁴	1.551-86.436
		N-stage	0.651			0.064			0.632		
	FDG-PET n=103	+ HPV-status	0.047	0.213	0.47-0.977	0.007	4.299	1.494-12.370	<0.001	0.151	0.054-0.423
		Location PT	0.312			0.119			0.299		
		Age	0.584			0.291			0.254		
		MATV	0.028	1.051	1.005-1.098	0.001	1.051	1.019-1.084	<0.001	1.061	1.037-1.085
	n=89	SUV _{max}	0.012	1.231	1.047-1.447	0.330			0.069		
		SUV _{peak}	0.011	1.284	1.058-1.557	0.200			0.025	1.119	1.014-1.235
		SUV _{mean}	0.016	1.348	1.061-1.764	0.245			0.039	1.143	1.007-1.298
		TLG	0.039	1.005	1.000-1.009	0.003	1.006	1.002-1.011	0.001	1.004	1.002-1.006
	DW-MRI n=89	T1 _{GTV}	0.812			0.896			0.431		
		ADC _{GTV}	0.766			0.953			<0.001	1.000	1.000-1.000
		ADC _{mean}	0.237			0.055			0.197	1.001	1.000-1.002
		ADC _{SD}	0.023	2.542	1.140-5.669	0.094			0.031	1.718	1.049-2.812
		ADC _{min}	0.883			0.283			0.207		
		ADC _{max}	0.033	4.905	1.138-21.132	0.064			0.007	3.311	1.397-7.845
		ADC _{skewness}	0.450			0.949			0.582		
		ADC _{kurtosis}	0.600			0.361			0.838		
		ADC _{p10} *	0.514			0.109			0.434		
		ADC _{p20}	0.484			0.102			0.361		
		ADC _{p30}	0.394			0.357			0.308		
		ADC _{p40}	0.357			0.339			0.257		
		ADC _{p50}	0.317			0.060			0.217		
		ADC _{p60}	0.263			0.055			0.204		
		ADC _{p70}	0.199			0.049	3.939	1.004-15.448	0.177		
		ADC _{p80}	0.143			0.047	3.779	1.019-14.017	0.142		
		ADC _{p90}	0.082			0.195			0.091		
Lymph node	FDG-PET n=94	MATV	0.892			0.828			0.620		
		SUV _{max}	0.485			0.975			0.450		
		SUV _{peak}	0.393			0.744			0.305		
		SUV _{mean}	0.288			0.648			0.211		
		TLG	0.025	1.008	1.000-1.018	0.096			0.077		
	DW-MRI n=74	ADC _{GTV}	0.597			0.955			0.972		
		ADC _{mean}	0.076			0.261			0.695		
		ADC _{SD}	0.441			0.985			0.920		
		ADC _{min}	0.883			0.191			0.503		
		ADC _{max}	0.158			0.712			0.704		
		ADC _{skewness}	0.657			0.758			0.482		
		ADC _{kurtosis}	0.212			0.347			0.173		
		ADC _{p10}	0.062			0.233			0.558		
		ADC _{p20}	0.036	38.825	1.269-11.88	0.092			0.666		
		ADC _{p30}	0.048	21.99	1.028-47.0	0.151			0.500		
		ADC _{p40}	0.062			0.182			0.530		
		ADC _{p50}	0.079			0.244			0.567		
		ADC _{p60}	0.101			0.316			0.631		
		ADC _{p70}	0.118			0.356			0.682		
		ADC _{p80}	0.155			0.423			0.774		
		ADC _{p90}	0.200			0.574			0.978		

1,2,3,4 : T-stage Color green: Significant univariate parameter S.E: Standard error *: ADC-percentiles.

in this study primary tumor ADC_{GTV} and ADC_{kurtosis} significantly correlated with all FDG-PET parameters, which implies a volume dependency in all FDG-PET parameters as well as ADC_{kurtosis} as a possible surrogate marker for FDG uptake. Last, lymph node ADC_{p20-LN} was found higher in non-responders, which might be caused by necrotic parts, insufficient vascularization and keratin protein contents [19,32].

HPV-negative tumors were correlated with high ADC-values ($r = 0.452$). This is in line with some previous studies [28,33–35], in which higher ADC histogram parameters in HPV-negative patients were found. This was confirmed by Meyer et al. [36], who found a negative

correlation of high ADC_{max} and ADC_{SD} with low P53 expression in HPV-negative tumors, which lead to minimal cell cycle arrest, senescence or apoptosis [37]. This was attributed to a more keratinizing morphology with variable cellularity, cell shape, keratin pearls, large intratumoral necrosis, hemorrhage and stromal cells found in HPV-negative tumors [28,31,33]. However, 2 studies did not find any differences of ADC histogram parameters between p16 status [36] or between the more accurate HPV status [15]. This suggested independent prognostic value of ADC and HPV status. In contrast, HPV-positive tumors have a typical non-keratinizing morphology, with small central necrosis and large

Fig. 4. Significant univariate primary tumor (PT) and lymph node metastasis (LN) ^{18}F -FDG-PET parameters predicting treatment failure, locoregional recurrence and death. A) All univariate ^{18}F -FDG-PET parameters (MATV, SUV_{max} , SUV_{peak} , SUV_{mean} , TLG_{PT} and TLG_{LN}) were significant higher in patients with treatment failure. B) The MATV and TLG parameters were significant higher in patients with a locoregional recurrence. C) The MATV, SUV_{peak} , SUV_{mean} and TLG parameters were significantly higher in patients who died. However an overlap of ^{18}F -FDG-PET-parameters values in patients who stayed alive and those who died, can be noticed.

Table 4

Multivariate analysis of significant univariate parameters (marked green in Table 3) assessed per DWI and PET separately, corrected for TNM-stage and HPV-status. Hereafter, these significant multivariate parameters of the single modality section are combined in the ‘modalities together’ section to predict treatment failure, locoregional recurrence and death.

			Treatment failure			Locoregional Recurrence			Death		
			Single modality			Modalities together			Single modality		
			p-value	Odds ratio	95%CI	p-value	Odds ratio	95%CI	p-value	Hazard ratio	95%CI
P r i m a r y n=58	PET n=58	MATV	NS			NS			0.003	1.041	1.014-1.069
		SUV _{max}	0.049	1.188	1.000-1.411				NS		
		SUV _{peak}	NS						NS		
		SUV _{mean}	NS						NS		
		TLG	NS						NS		
	DWI n=58	ADC _{GTV}	NS			NS			<0.001	1.063	1.031-1.095
		ADC _{SD}	NS						0.048	1.046	1.002-1.076
		ADC _{max}	0.024	5.586	1.250-2.497				NS		
		ADC _{p70}	NS						NS		
		ADC _{p80}	NS						NS		
LN n=48	PET*	LN TLG	0.031	1.012	1.001-1.024	NS					
		LN	0.047	2.298	0.987-5.350	NS					
	DWI n=48	ADC _{p20}	NS								
		ADC _{p30}	NS								

Abbreviations: NS: Not significant CI: confidence interval PET*: The lymph node metastasis analysis consisted of 48 patients.

amounts of infiltrating lymphocytes, low stromal volume (i.e. low ADC), sufficient vascularization and, therefore, more responsive to (chemo) radiotherapy [15,28,31].

Hypoxia may inhibit dividing tumor cells or lead to cell death (increased ADC), due to insufficient oxygen supply, but it may directly induce tumoral progression (high FDG-uptake with reduced apoptosis, high production of VEGF, glycolic enzymes and signaling molecules [12,38]) with radioresistance. This however, was dependent on tumor grading with different relations between parenchyma, stroma and microvascular density [14,39]. Surov et al. [14] described that SUV_{max} and SUV_{mean} were correlated with cellularity in advanced stage tumours (G3) and only HIF-1 α tended to correlate with FDG-uptake. HPV-negative tumours exhibit increased HIF1 α -induced glucose metabolism as evidenced by increased glycolysis and proliferation (e.g. Ki 67), production of lactate and hypoxic microenvironment [9,14]. In contrast, HPV-positive cells effectively utilize mitochondrial respiration as evidenced by increased oxygen consumption [40–42] and low expression of HIF-1 α [36]. We confirmed this hypothesis by finding significant higher ¹⁸F-FDG-PET parameters (MATV_{PT}, SUV_{max-PT}, SUV_{peak-PT}, SUV_{mean-PT} and TLG_{PT} in univariate analysis) in HPV-negative than HPV-positive patients. This underlines the necessity for correction for HPV status in multivariate prognostic analysis.

The prediction of treatment failure was previously described performing single DWI [16,20,43,44] or FDG-PET [4,11,45]. Nakajo et al. [5] compared both imaging techniques and found a similar predictive potential for ADC as for SUV predicting LRF in a small sample size. Limited data on the combination of imaging techniques was published. Kim et al. [46] found that volumetric MATV, TLG corrected by tumor cellularity (ADC_{min} and ADC_{mean}) and were prognostic for LR [46]. However, their patient population underwent surgery, and sub-optimal delineation of only one imaging technique was performed. Although in advanced tumors SUV_{max}/ADC_{min}, SUV_{max}/ADC_{mean} and TLG/ADC_{min} correlated with cellularity, Ki67 and HIF-1 α [47], no prognostic tests were performed. Chan et al. [21] found that a combination of PET heterogeneity (uniformity), DCE-MRI parameters and clinical risk factors was most predictive for LR and OS. However, in their study DWI was not applicable in the prognostic tests and HPV-status was lacking.

To our knowledge, the prediction of treatment failure and locoregional recurrence was not assessed before by combining PET and DWI together (including histogram parameters capturing tumor heterogeneity and clinical parameters including HPV-status) in a multivariate prognostic analysis and presented with a clinical applicable prediction model (Table 5). We showed that the combination of ADC_{max} and

SUV_{max} was more accurate than using a single imaging technique for predicting treatment failure (i.e. during the first 6 months after treatment). The prediction of locoregional recurrence showed TLG_{PT} as main prognostic parameter. This was in line with other studies, in which identification of necrosis or hypoxia and a higher glycolytic and increased tumor heterogeneity in HPV-negative tumors was predictive for treatment failure [16,20]. TLG has been suggested to reflect global metabolic activity in whole tumors better than MATV, as TLG represents both functional tumor burden and biological aggressiveness [48]. Regarding death, in this study only volumetric parameters (high MATV_{PT} and ADC_{GTV-PT}) were found to be significantly predictive for death. This was confirmed in previous studies. However, high SUV_{mean} was also described as prognostic factor [4,49].

The identification of predictive tumor characteristics predictive of resistance to (chemo)radiotherapy, such as a high intratumoral heterogeneity (ADC_{SD}, ADC_{max}, ADC_{p20-LN}), tumor aggressiveness (SUV_{max}) and a negative HPV-status, could help stratify risk groups, which might implicate tailored treatment (e.g., intensifying concurrent chemotherapy or offering alternative treatment options such as surgery as early possible to minimize the side effects of ineffective chemoradiation). Patients with high TLG_{PT} and ADC_{GTV-PT} may be considered at high risk of locoregional recurrence and may benefit from intensifying post-treatment monitoring.

Our findings suggested that treatment failure prediction benefits from combining DWI with ¹⁸F-FDG-PET/CT imaging, by measuring the ADC_{max-PT} and SUV_{max-PT} (Table 5). However, the drawback of performing both imaging must be considered, e.g. a heavier burden for the patient and higher costs. Optimal cut-off values of ADC_{max-PT} and SUV_{max-PT} showed their diagnostic potential to considerably improve the prediction of treatment failure. In contrast, no additional value of combining imaging modalities was found for predicting LR and death (Fig. 5).

Some limitations must be acknowledged. First, ¹⁸F-FDG-PET/CT delineation bias could have occurred using a 50% SUV_{peak} threshold, which excludes necrotic areas with low SUV [15]. This results in overall higher SUV and lower MATV, although it is more reproducible. Secondly, the maximum and minimum values of DWI and SUV parameters were single voxel dependent and therefore susceptible to noise. In this study, readers excluded voxels in areas of distortion or air, whereas the rest was considered characteristic for tumor phenotype. Furthermore, in this study the percentiles ranging from 10 to 90 were assessed, which are less effected by extreme values. Thirdly, the assessment of the largest LNs only could falsely ignore the prognostic adverse effect of

Table 5

Positive (A) and negative (B) predictive value for treatment failure using both ADC_{max} (horizontal) and SUV_{max} (vertical) with the most optimal cut-off values for each prevalence. A red colour marks a high predictive value for treatment failure.

A. Positive predictive value for treatment failure					
Prevalence	SUV_{max} cut-off	Any ADC_{max}	$ADC_{max} > 1.927$	$ADC_{max} > 2.236$	$ADC_{max} > 2.528$
0.10	Any SUV_{max}	0.10	0.20	0.36	0.43
	> 7.13	0.13	0.26	0.44	0.50
	> 11.30	0.19	0.34	0.54	0.61
	> 13.58	0.28	0.47	0.66	0.72
0.20	Any SUV_{max}	0.20	0.36	0.56	0.63
	> 7.13	0.25	0.44	0.64	0.70
	> 11.30	0.34	0.54	0.73	0.78
	> 13.58	0.46	0.66	0.82	0.85
0.30*	Any SUV_{max}	0.30	0.49	0.69	0.74
	> 7.13	0.37	0.57	0.75	0.80
	> 11.30	0.47	0.67	0.82	0.86
	> 13.58	0.60	0.77	0.88	0.91
0.40	Any SUV_{max}	0.40	0.60	0.77	0.82
	> 7.13	0.48	0.67	0.82	0.86
	> 11.30	0.58	0.76	0.88	0.90
	> 13.58	0.70	0.84	0.92	0.94
0.50	Any SUV_{max}	0.50	0.69	0.84	0.87
	> 7.13	0.58	0.76	0.87	0.90
	> 11.30	0.67	0.82	0.91	0.93
	> 13.58	0.78	0.89	0.95	0.96
B. Negative predictive value for treatment failure					
Prevalence	SUV_{max} cut-off	Any ADC_{max}	$ADC_{max} < 2.528$	$ADC_{max} < 2.236$	$ADC_{max} < 1.927$
0.10	Any SUV_{max}	0.90	0.93	0.94	0.95
	< 13.58	0.93	0.95	0.96	0.97
	< 11.30	0.94	0.96	0.97	0.97
	< 7.13	1.00	1.00	1.00	1.00
0.20	Any SUV_{max}	0.80	0.85	0.88	0.89
	< 13.58	0.86	0.90	0.92	0.93
	< 11.30	0.88	0.92	0.93	0.94
	< 7.13	1.00	1.00	1.00	1.00
0.30*	Any SUV_{max}	0.70	0.77	0.81	0.83
	< 13.58	0.79	0.84	0.87	0.89
	< 11.30	0.82	0.86	0.89	0.90
	< 7.13	1.00	1.00	1.00	1.00
0.40	Any SUV_{max}	0.60	0.68	0.73	0.76
	< 13.58	0.70	0.77	0.81	0.84
	< 11.30	0.74	0.80	0.84	0.86
	< 7.13	1.00	1.00	1.00	1.00
0.50	Any SUV_{max}	0.50	0.59	0.64	0.68
	< 13.58	0.61	0.69	0.74	0.77
	< 11.30	0.65	0.73	0.77	0.80
	< 7.13	1.00	1.00	1.00	1.00

*The prevalence of treatment failure in HNSCC in patients [1].

having multiple LN metastases. However, small LNs (< 5 mm) cannot be assessed with sufficient accuracy on ^{18}F -FDG-PET/CT [50]. Moreover, it is likely that the chance of eradication of small lymph node metastases is higher than larger LN. Therefore the prognosis might be determined by the largest lymph node metastases.

5. Conclusion

Both DWI and ^{18}F -FDG PET/CT parameters appear to have predictive value for treatment failure, locoregional recurrence and death. Combining $SUV_{max-PET}$ with $ADC_{max-PET}$ improved treatment failure

prediction compared to single parameter assessment. In contrast, no additional value of combining imaging modalities was found for predicting locoregional recurrence and death. Stratification of patients with DWI and ^{18}F -FDG PET/CT parameters to predict favorable and unfavorable outcomes might help to tailor patient's individualized treatment.

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Fig. 5. A) Locoregional recurrence prognosis using TLG_{PT} with an optimal cut-off of 36.2, shows a significant Log-Rank test with a good differentiation on survival. B) Overall survival using MATV or ADC_{GTV} . (C) Overall survival predicted by a combination of ADC_{GTV} and MATV showing no additional value to the use of the parameters separately (as is seen in B).

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Conflict of interest

The authors declare no conflict of interest.

Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:<https://doi.org/10.1016/j.ejrad.2019.01.031>.

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